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PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

10/036,140

Filing Date

December 26, 2001

First Named Inventor

Stanley A. McCULLAN

Group Art Unit

2151 MAY 20 2002

Examiner Name

UNKNOWN Technology Center 2100

Total Number of Pages in This Submission

3

Attorney Docket Number

1662-54000 (P01-3766)

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2100

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):
 - 1. Form PTO-1449 (1 p.);
 - 2. THREE (3) References; and
 - 3. Acknowledgment postcard.

Remarks

NO OFFICE ACTION HAS BEEN RECEIVED IN THIS MATTER,
THEREFORE, NO FILING FEE IS DUE.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jonathan M. Harris, Reg. No. 44,144 CONLEY, ROSE & TAYON, P.C.
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Signature

Date May 6, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 6, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stanley A. McCLELLAN et al.

Serial No.: 10/036,140

Filed: December 26, 2001

For: Fault Tolerance Associations
For IP Transport Protocols§
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Group Art Unit: 2151

Examiner: UNKNOWN

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MAY 20 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENTAssistant Commissioner for Patents
Washington, D.C. 20231

Att'y. Docket No. 1662-54000

Client Docket No. P01-3766

Date: May 6, 2002

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action has been received in the present application. Therefore, no filing fee is due for the filing of this Information Disclosure Statement.

Respectfully submitted,

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